

06/08/99 1553 U.S. PTO

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PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Attorney Docket No.	118776-1
	First Inventor or Application Identifier	Mary E. Farmer Brock
	Title	Interchangeable Panel, Modular Display System
	Express Mail Label No.	EL152179979US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Specification [Total Pages 15] (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Copyb. <input type="checkbox"/> Paper Copy (identical to computer copy)c. <input type="checkbox"/> Statement verifying identity of above copies	
3. 8 Drawing(s) (35 U.S.C. 113) [Total Sheets 5]	ACCOMPANYING APPLICATION PARTS 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 13. <input checked="" type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SB/09-12) 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input checked="" type="checkbox"/> Other: Patent Application is originating from Provisional Patent Application No. 60,090,376 filed 6-22-98.	
4. Oath or Declaration [Total Pages 20] <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)<ul style="list-style-type: none">i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).		
NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).		
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: _____ Prior application information: Examiner _____ Group / Art Unit: _____ For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.		

17. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or <input type="checkbox"/> Correspondence address below					
Name	Philip G. Meyers, Esq. GARDERE & WYNNE, L.L.P.				
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City	Dallas	State	Texas	Zip Code	75201
Country	USA	Telephone	214-999-3000	Fax	214-999-4667

Name (Print/Type)	Philip G. Meyers	Registration No. (Attorney/Agent)	30,478
Signature	<i>Philip G. Meyers</i>	Date	6-8-99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 1999</h3> <p style="font-size: small; margin: 0;">Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.</p>	<p>Complete If Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>Application Number</td><td></td></tr> <tr><td>Filing Date</td><td></td></tr> <tr><td>First Named Inventor</td><td>Mary E. Farmer Brock</td></tr> <tr><td>Examiner Name</td><td></td></tr> <tr><td>Group / Art Unit</td><td></td></tr> <tr><td>Attorney Docket No.</td><td>118776-1</td></tr> </table>	Application Number		Filing Date		First Named Inventor	Mary E. Farmer Brock	Examiner Name		Group / Art Unit		Attorney Docket No.	118776-1
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TOTAL AMOUNT OF PAYMENT	(\$ 459.00)												

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																												
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number 07-0153</p> <p>Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/></p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<h3>3. ADDITIONAL FEES</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205 65</td><td></td></tr> <tr><td>127</td><td>50</td><td>227 25</td><td></td></tr> <tr><td>139</td><td>130</td><td>139 130</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147 2,520</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112 920*</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113 1,840*</td><td></td></tr> <tr><td>115</td><td>110</td><td>215 55</td><td></td></tr> <tr><td>116</td><td>380</td><td>216 190</td><td></td></tr> <tr><td>117</td><td>870</td><td>217 435</td><td></td></tr> <tr><td>118</td><td>1,360</td><td>218 680</td><td></td></tr> <tr><td>128</td><td>1,850</td><td>228 925</td><td></td></tr> <tr><td>119</td><td>300</td><td>219 150</td><td></td></tr> <tr><td>120</td><td>300</td><td>220 150</td><td></td></tr> <tr><td>121</td><td>260</td><td>221 130</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138 1,510</td><td></td></tr> <tr><td>140</td><td>110</td><td>240 55</td><td></td></tr> <tr><td>141</td><td>1,210</td><td>241 605</td><td></td></tr> <tr><td>142</td><td>1,210</td><td>242 605</td><td></td></tr> <tr><td>143</td><td>430</td><td>243 215</td><td></td></tr> <tr><td>144</td><td>580</td><td>244 290</td><td></td></tr> <tr><td>122</td><td>130</td><td>122 130</td><td></td></tr> <tr><td>123</td><td>50</td><td>123 50</td><td></td></tr> <tr><td>126</td><td>240</td><td>126 240</td><td></td></tr> <tr><td>581</td><td>40</td><td>581 40</td><td></td></tr> <tr><td>146</td><td>760</td><td>246 380</td><td></td></tr> <tr><td>149</td><td>760</td><td>249 380</td><td></td></tr> </tbody> </table> <p>Other fee (specify) _____</p> <p>Other fee (specify) _____</p>	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	105	130	205 65		127	50	227 25		139	130	139 130		147	2,520	147 2,520		112	920*	112 920*		113	1,840*	113 1,840*		115	110	215 55		116	380	216 190		117	870	217 435		118	1,360	218 680		128	1,850	228 925		119	300	219 150		120	300	220 150		121	260	221 130		138	1,510	138 1,510		140	110	240 55		141	1,210	241 605		142	1,210	242 605		143	430	243 215		144	580	244 290		122	130	122 130		123	50	123 50		126	240	126 240		581	40	581 40		146	760	246 380		149	760	249 380	
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SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Philip G. Meyers			Reg. Number	30478
Signature				Date	6-8-99
				Deposit Account User ID	

STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR	Docket Number (Optional) 118776-1
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Applicant, Patentee, or Identifier: Mary Farmer Brock et al

Application or Patent No.: _____

Filed or Issued: _____

Title: Interchangeable Panel Display System

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.
☐ Each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Mary E. Farmer Brock
 NAME OF INVENTOR

John J. McGeough
 NAME OF INVENTOR

 NAME OF INVENTOR

Mary E. Farmer Brock
 Signature of inventor

John J. McGeough
 Signature of inventor

 Signature of inventor

June 2, 1999
 Date

06-02-99
 Date

 Date

09327593-060899